

## **Complaints Policy of Heart of the South West Local Enterprise Partnership**

HotSW LEP views complaints as an opportunity to learn and improve for the future, as well as a chance to put things right for the person (or organisation) that has made the complaint.

Our policy is:

- To provide a fair complaints procedure which is clear and easy to use for anyone wishing to make a complaint
- To publicise the existence of our complaints procedure so that people know how to contact us to make a complaint
- To make sure everyone at HotSW LEP knows what to do if a complaint is received
- To make sure all complaints are investigated fairly and in a timely way
- To make sure that complaints are, wherever possible, resolved and that relationships are repaired
- To gather information which helps us to improve what we do

### **Definition of a Complaint**

A complaint is any expression of dissatisfaction, whether justified or not, about any aspect of HotSW LEP.

### **Where Complaints Come From**

Complaints may come from any person or organisation who has a legitimate interest in HotSW LEP.

A complaint can be received verbally, by phone, by email or in writing. This policy does not cover complaints from staff, who should use HotSW LEP's Discipline and Grievance policies.

### **Confidentiality**

All complaint information will be handled sensitively, telling only those who need to know and following any relevant data protection requirements.

### **Responsibility**

Overall responsibility for this policy and its implementation lies with the board.

### **Review**

This policy is reviewed regularly and updated as required.

Adopted on: 01 September 2014

Last reviewed: 15 March 2015

## Complaints Procedure of Heart of the South West Local Enterprise Partnership

### Publicised Contact Details for Complaints:

Written complaints may be sent to HotSW LEP at PO Box 805, Exeter, EX1 9UU or by e-mail at [info@heartofswlep.co.uk](mailto:info@heartofswlep.co.uk)

Verbal complaints may be made by phone to 01935 385977 (this is our answering service at Yeovil Innovation Ctre?) or in person to any HotSW LEP's staff at any of our events or activities.

### Receiving Complaints

Complaints may arrive through channels publicised for that purpose or through any other contact details or opportunities the complainant may have.

Complaints received by telephone or in person need to be recorded.

The person who receives a phone or in person complaint should:

- Write down the facts of the complaint
- Take the complainant's name, address and telephone number
- Note down the relationship of the complainant to HotSW LEP
- Tell the complainant that we have a complaints procedure
- Tell the complainant what will happen next and how long it will take
- Where appropriate, ask the complainant to send a written account by post or by email so that the complaint is recorded in the complainant's own words.

### Stage One

In many cases, a complaint is best resolved by the person responsible for the issue being complained about. If the complaint has been received by that person, they may be able to resolve it swiftly and should do so if possible and appropriate.

Whether or not the complaint has been resolved, the complaint information should be passed to [Chief Executive/Executive Assistant?](#) within [\[one week\]](#).

On receiving the complaint, [\[CEO, Executive Assistant? person who complaints go to\]](#) records it in the complaints log. If it has not already been resolved, they delegate an appropriate person to investigate it and to take appropriate action.

If the complaint relates to a specific person, they should be informed and given a fair opportunity to respond.

Complaints should be acknowledged by the person handling the complaint within [\[a week\]](#). The acknowledgement should say who is dealing with the complaint and when the person complaining can expect a reply. A copy of this complaints procedure should be attached.

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Ideally complainants should receive a definitive reply within [four weeks]. If this is not possible because for example, an investigation has not been fully completed, a progress report should be sent with an indication of when a full reply will be given.

Whether the complaint is justified or not, the reply to the complainant should describe the action taken to investigate the complaint, the conclusions from the investigation, and any action taken as a result of the complaint.

### **Stage Two**

If the complainant feels that the problem has not been satisfactorily resolved at Stage One, they can request that the complaint is reviewed at Board level. At this stage, the complaint will be passed to [*state who Stage Two complaints should go to e.g. the Chair*].

The request for Board level review should be acknowledged within [a week] of receiving it. The acknowledgement should say who will deal with the case and when the complainant can expect a reply.

[The person who receives Stage Two complaints] may investigate the facts of the case themselves or delegate a suitably senior person to do so. This may involve reviewing the paperwork of the case and speaking with the person who dealt with the complaint at Stage One.

If the complaint relates to a specific person, they should be informed and given a further opportunity to respond.

The person who dealt with the original complaint at Stage One should be kept informed of what is happening.

Ideally complainants should receive a definitive reply within [four weeks]. If this is not possible because for example, an investigation has not been fully completed, a progress report should be sent with an indication of when a full reply will be given.

Whether the complaint is upheld or not, the reply to the complainant should describe the action taken to investigate the complaint, the conclusions from the investigation, and any action taken as a result of the complaint.

The decision taken at this stage is final, unless the Board decides it is appropriate to seek external assistance with resolution. [*You may wish to name a particular avenue here such as a national body or other independent organisation*]

[*For organisations which are charities:*

### **External Stage**

The complainant can complain to the Charity Commission at any stage.

### **Variation of the Complaints Procedure**

The Board may vary the procedure for good reason. This may be necessary to avoid

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a conflict of interest, for example, a complaint about the Chair should not also have the Chair as the person leading a Stage Two review.

### **Monitoring and Learning from Complaints**

Complaints are reviewed annually to identify any trends which may indicate a need to take further action.